

3622

Attny Dkt No.: 11032-3040

3622



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MERRIMAN, Dwight A. et al

Appl'n No.: Group Art Unit: 10/082,069

Filing Date: 26 February 2002 Examiner: Champagne,

Donald

For: NETWORK FOR DISTRIBUTION OF RE-TARGETED ADVERTISING

Mail Stop AMENDMENT Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & § 1.98

Sir:

Under the provisions of 37 C.F.R. § 1.97(b)(3), and in accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants would like to call to the Office's attention the references listed on the attached substitute form PTO-1449 (18 sheets). This filing is intended to replace and supplement the IDS filing of February 26, 2002 in the present application.

Applicants also direct the Office's attention to the following related pending applications, among which the listed references have been cited:

- U.S. Reissue Application No. 09/577,798 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed May 24, 2000, reissue of U.S. Patent No. 5,948,061
- U.S. Application No. 11/250,575 entitled Method and Apparatus for Automatic Placement of Advertising, filed October 17, 2005
- U.S. Application No. 10/254,923 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 26, 2002
- U.S. Application No. 10/798.342 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004
- U.S. Application No. 10/798.340 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004

PATENT

Appl'n No.: 10/082,069 Attny Dkt No.: 11032-3040

 U.S. Application No. 10/798,444 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004

 U.S. Application No. 10/937,341 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 10, 2004

It is respectfully requested that the listed references be expressly considered during the prosecution of this application, that the Examiner initial each listed reference to evidence its consideration, and that the references be made of record therein and appear among the "References Cited" section on any patent to issue therefrom.

Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), Applicants have not provided copies of the listed U.S. patents and U.S. patent application publications but will do so if required by the Office.

The filing of this Information Disclosure Statement and the enclosed substitute form PTO-1449 shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).

As this statement is being filed before the mailing of a first Office action on the merits pursuant to 37 C.F.R. § 1.97(b), no fee is believed due. Nonetheless, the Office is hereby authorized to charge any fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Kenyon & Kenyon LLP's Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: August 14, 2006

Bradley J. Meier (Reg. No. 44,236)

KENYON & KENYON LLP 1500 K Street, N.W., Suite 700 Washington, D.C. 20005 (202) 220 - 4200 (telephone) (202) 220 - 4201 (facsimile) Please type a plus sign (+) inside this box —>

Modified PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/082,069				
Filing Date	26 February 2002				
First Named Inventor	MERRIMAN, Dwight A.				
Group Art Unit	3622				
Examiner Name	Champagne, Donald				
Attorney Docket Number	11032-3040				

		U.S. Petent Document		Dete of Publication of	Peges, Columns, Lines, Where Relevant
Exeminer Initials *	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,602,279	FREEMAN	07-22-1986	
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Examiner Signature	Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the pappropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Application Number 10/082,069					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			SCLOSURE	Fiting Date	26 February 2002				
			APPLICANT	First Named Inventor	MERRIMAN, Dwight A.				
				Group Art Unit 3622					
(use as many sheets as necessary)		Examiner Name	Champagne, Donald						
Sheet	2	of	18	Attorney Docket Number	11032-3040				

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INFORMATION DISCLOSURE				Filing Date	26 February 2002
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				Group Art Unit	3622
(use as many sheets as necessary)		Examiner Name	Champagne, Donald		
Sheet	3	of	18	Attomey Docket Number	11032-3040

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Examiner Signature	Date Considered	

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				Group Art Unit 3622		
(use as many sheets as necessary)		Examiner Name	Champagne, Donald			
Sheet	4	of	18	Attorney Docket Number	11032-3040	

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Examiner	Date	
Signature	Considered	]

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INF	ORMATION	1 DI	SCLOSURE	Filing Date	26 February 2002	
STA	TEMENT E	3Y /	APPLICANT	First Named Inventor	MERRIMAN, Dwight A.	
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Sheet	5	of	18	Attorney Docket Number	11032-3040	

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Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup> (i	nd Code <sup>5</sup> f known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Te
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				Group Art Unit	3622	
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wo	96/38796	A1	MOHR	12-05-1996	
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				Application Number	10/082,069	
INF	ORMATION	١DI	SCLOSURE	Fiting Date	26 February 2002	
STA	TEMENT	BY A	APPLICANT	First Named Inventor	MERRIMAN, Dwight A.	
				Group Art Unit	3622	
(use as many sheets as necessary)				Examiner Name	Champagne, Donald	
Sheet	7	of	18	Attorney Docket Number	11032-3040	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue not.							
		17625888; Java and Blackbird: extending the Web; Seybold Reoport on Publishing Systems; October 23, 1995						
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Signature	Considered	

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	(use as many she	ets as	necessary)	Examiner Name	Champagne, Donald	
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Signature	Considered	

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				Group Art Unit	3622
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Sheet	10	of	18	Attomey Docket Number	11032-3040

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		DAVID E. ZINMAN (founder of FocaLink), Deposition Transcript from Double Click, Inc. v. L90, Inc., 00 Civ. 2690, S.D.N.Y.	
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INF	ORMATIO	N DI	SCLOSURE	Filing Date	26 February 2002	
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				Group Art Unit	3622	
	(use as many she	eets as	necessary)	Examiner Name	Champagne, Donald	
Sheet	11	of	18	Attorney Docket Number	11032-3040	

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RE> Saturn Ad Placements; September 29, 1995; Adam@utne.co; Z00087 / SD027158	
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute	for form 1449A/PTO				Complete if Known
				Application Number	10/082,069
INF	ORMATION	I DIS	SCLOSURE	Filing Date	26 February 2002
STA	TEMENT E	3Y A	PPLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
	(use as many shee	ets as r	necessary)	Examiner Name	Champagne, Donald
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Saturn reports; Dec. 5, 1995; Jennifer Ratner; Z00134-Z00140 / SD027199-SD027205	
November Report; Dec. 5, 1995; Jennifer Ratner; Z00141-Z00146 / SD027206-SD027211	
FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00147 / SD027212	
FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00148 / SD027213	
FocaLink Media Services; December 6, 1995; David Zinman; Z00149 / SD027214	
FocaLink for the Layman; December 6, 1995; David Zinman; Z00150 / SD027215	
Microtargeting; December 7, 1995; David Zinman; Z00151 / SD027216	
Info on FocaLink Media Services; December 11, 1995; David Zinman; Z00152-Z00154 / SD027217-SD027219	
FocaLink Media Services; December 11, 1995; David Zinman; Z00155-Z00156 / SD027220- SD027221	
Catch-up time; December 11, 1995; David Zinman; Z00157 / SD027222	
Info on FocaLink; December 13, 1995; David Zinman; Z00158-Z00160 / SD027223-SD027225	
Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00161 / SD027226	
Re: Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00162 / SD027227	
FocaLink Beta Test on SmartBanner; Dec. 14, 1995; David Zinman; Z00163 / SD027228	
I/Pro Mtg Today; December 19, 1995; David Zinman; Z00164 / SD027229	
Eric Smith Quote (fwd); January 10, 1996; David Zinman; Z00165 / SD027230	
FocaLink Communications, Inc.; January 10, 1996; David Zinman; Z00166 / SD027231	
	November Report; Dec. 5, 1995; Jennifer Ratner; 200141-200146 / SD027206-SD027211  FocaLink Media Services; Dec. 6, 1995; David Zimman; 200147 / SD027212  FocaLink Media Services; Dec. 6, 1995; David Zimman; 200148 / SD027213  FocaLink Media Services; December 6, 1995; David Zimman; 200149 / SD027214  FocaLink for the Layman; December 6, 1995; David Zimman; 200150 / SD027215  Microtargeting; December 7, 1995; David Zimman; 200150 / SD027216  Info on FocaLink Media Services; December 11, 1995; David Zimman; 200152-200154 / SD027217-SD027219  FocaLink Media Services; December 11, 1995; David Zimman; 200155-200156 / SD027220-SD027221  Catch-up time; December 11, 1995; David Zimman; 200157 / SD027222  Info on FocaLink; December 13, 1995; David Zimman; 200158-200160 / SD027223-SD027225  Infel Ad on Dilbert Site; December 13, 1995; David Zimman; 200162 / SD027227  FocaLink Beta Test on SmartBanner; Dec. 14, 1995; David Zimman; 200163 / SD027228  I/Pro Mtg Today; December 19, 1995; David Zimman; 200164 / SD027229  Eric Smith Quote (fwd); January 10, 1996; David Zimman; 200165 / SD027229

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Substitute	Under the Paperwor		tion Act of 1995, no persons a		of information unless it contains a valid OMB control number Complete if Known
				Application Number	10/082,069
INF	ORMATION	N DI	SCLOSURE	Filing Date	26 February 2002
STA	TEMENT I	BY /	APPLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
	(use as many she	ets as	necessary)	Examiner Name	Champagne, Donald
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Re: Advertisers for wyp.net; January 12, 1996; David Zinman; Z00167 / SD027232	
Quote for Press Release; January 15, 1996; David Zinman; Z00168 / SD027233	
Re: Quote for Press Release; January 16, 1996; "thomas mark"; Z00169 / SD027234	
Re: Quote for Press Release (fwd); January 16, 1996; David Zinman; Z00170-Z00171 / SD027235- SD027236	
RE> **Ad Placement Notific; January 19, 1996; Dan_Stoller@anlsf.com; Z00172-Z00173 / SD027237-SD027238	
Invitation; January 25, 1996; wilkins@eit.com; Z00174 / SD027239	
Focalink; January 25, 1996; David Zinman; Z00175 / SD027240	
SmartBanner Reports; January 25, 1996; David Zinman; Z00176 / SD027241	
RE: Focalink; January 26, 1996; Rick Vorhaus; Z00177 / SD027242	
Comments; January 29, 1996; David Zinman; Z00178-Z00182 / SD027243-SD027247	
Poppe Tyson/Internet Advertising Network Alliance: February 26, 1996; Ron Kovas; Z00183 / SD27248	
fyi; February 5, 1996; "Roger Follis"; Z00184-Z00185 / SD027249-SD027250	
Focalink Communications; February 5, 1996; David Zinman; Z00186-Z00188 / SD027251-SD027253	
Microsoft Update; February 5, 1996; Margaret Bond; Z00189 / SD027254	
Focalink Communications; February 6, 1996; David Zinman; Z00190-Z00192 / SD027255- SD027257	
Focalink Communications; February 6, 1996; David Zinman; Z00193-Z00195 / SD027258- SD027260	
Focalink Communications; February 6, 1996; David Zinman; Z00196-Z00198 / SD027261- SD027263	

Examiner Signature	Date Considered	

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				Application Number	10/082,069
INF	ORMATION	N DI	SCLOSURE	Filing Date	26 February 2002
STA	ATEMENT I	BY /	APPLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
	(use as many she	ets as	necessary)	Examiner Name	Champagne, Donald
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[Fwd: Re: DoubleClick and IAN]; February 6, 1996; Jason Strober; Z00199 / SD027264
Focalink Communications; February 6, 1996; David Zinman; Z00200-Z00202 / SD027265- SD027267
Test With Focalink; February 7, 1996; David Zinman; Z00203 / SD027268
Urgent - Microsoft Ad Placement; February 7, 1996; David Zinman; Z00204 / SD027269
Test for Microsoft Banner; February 8, 1996; Joel Bassuk; 200205 / SD027270
ZDNet Test; Margaret Bond; February 8, 1996; Z00206 / SD027271
URLs; February 8, 1996; Dan Stoller; Z00207-Z00208 / SD027272-SD027273
HeadsUp 02-09-96 (20 stories) <cd58m010>; February 9, 1996; An Information Service of Individual Inc.; 200209-200212 / SD027274-SD027277</cd58m010>
Focalink Communications; February 13, 1996; David Zinman; Z00213 / SD027278
Targeting; February 13, 1996; Michael Wang; Z00214 / SD027279
Re: Cookies!; February 15, 1996; David Zinman; Z00215 / SD027280
Focalink; February 22, 1996; Jason Strober; Z00216 / SD027281
Focalink/Microsoft I.E.; February 23, 1996; Margee Bond; Z00217-Z00218 / SD027282-SD027283
Cookies; March 6, 1996; David Zinman; Z00219 / SD027284
Did I send this 2x; April 3, 1996; Z00220-Z00222 / SD027285-SD027287
Answer to your request; April 8, 1996; Z00223 / SD027288
Site Letter; April 10, 1996; Z00224-Z00225 / SD027289-SD027290

Examiner	Date
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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/082,069	
INFORMATION DISCLOSURE				Filing Date	26 February 2002	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	MERRIMAN, Dwight A.	
				Group Art Unit	3622	
(use as many sheets as necessary)			necessary)	Examiner Name	Champagne, Donald	
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Oracle and Intel; April 12, 1996; Z00226 / SD027291		
Doubleclick; April 16, 1996; Z00227 / SD027292		
Open Market Licenses With NetGravity; May 6, 1996; Z00228 / SD027293		
Fact check; May 12, 1996; Z00229 / SD027294		
IDG; May 17, 1996; Z00230-Z00231 / SD027295-SD027296		
Daily Spectrum; May 20, 1996; Z00232-Z00242 / SD027297-SD027307		
Fact check; May 20, 1996; Z00243 / SD027308		
Cookie FAQ; May 21, 1996; Z00244 / SD027309		
Focalink Communications; May 21, 1996; Z00245-Z00246 / SD027310-SD027311		
Network World Fusion; May 22, 1996; Z00247 / SD027312		
Looming issue; July 13, 1996; Z00248-Z00249 / SD027313-SD027314		
Cookies & privacy ? / Gernan Press; July 15, 1996; Z00250 / SD027315		
Cookies & privacy ? / German Press; July 16, 1996; Z00251 / SD027316		
DoubleClick; September 5, 1996; Z00252-Z00253 / SD027317-SD027318		
Infoseek Business Terms; September 7, 1996; Z00254-Z00256 / SD027319-SD027321		
SmartBanner ads on HotWired; September 11, 1996; Z00257 / SD027322		
Statement of Work; September 23, 1996; Z00258-Z00259 / SD027323-SD027324		

	Date Considered
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Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patients, Washington, DC 20231.

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				Group Art Unit	3622
(use as many sheets as necessary)			necessary)	Examiner Name	Champagne, Donald
Sheet	18	of	18	Attorney Docket Number	11032-3040

NetGravity Releases Adserver 2.0; October 21, 1996; Z00260-Z00263 / SD027325-SD027328	
"FOCALINK"; DC004278A	
'FocaLink Services'; FocaLink Media Services, Inc.; DC004278B-DC004278C	
"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278D-DC004278CC	
"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278DD-DC004278XX	
" <u>Link Marketing"</u> ; handwritten page; MA0001	
"Link Marketing"; LinkMarket; MA0002-MA0007	
"LinkMarket"; David Zinman et al.; MA0008-MA0027	
"Link Marketing, LLP"; MA0028-MA0029	
*Link Marketing LLP*; MA0030-MA0038	
"Escapes"; Web page; MA0039-MA0040	
"Industry Overview"; FocaLink Media Services, Inc.; MA0041-MA0053	
"Executive Summary"; Focalink Communications Confidential"; printed 7/30/97; MA0054-MA0109	
"FOCALINK COMMUNICATIONS"; February 1996; MA0110-MA0149	

Examiner Signature	/Donald Champagne/ (03/03/2008)	Date Considered	
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